



Section 5 #4

PATENT  
Attorney Docket No.: A-68289-1/RMS/DHR

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
 )  
Ying LUO, et al. )  
 )  
Serial No.: 09/715,725 )  
 )  
Filing Date: 16 November 2000 )  
 )  
For: *Novel IAPS Associated Cell Cycle* )  
 *Proteins, Compositions and* )  
 *Methods of Use* )

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on 26 September 2001.

Signed: \_\_\_\_\_

Todd V. Leone

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This transmittal is in response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), *Filing Date Granted*, mailed 26 February 2001.

Applicants hereby claim small entity status (see 37 C.F.R. 1.27).

Applicants submit the following documents, including those submitted under 37 C.F.R. § 1.47(a) and M.P.E.P. § 409.03:

1. This Transmittal of Missing Parts of Application.

2. The return copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b), *Filing Date Granted*.
3. Petition for Extension of Time (5 months).
4. Our Check No. 37703 in the amount of \$945.00 to pay the extension fee.
5. Our Check No. 37700 in the amount of \$1,106.00 to pay: the basic filing fee (\$355.00), the missing parts surcharge (\$65.00), the multiple dependent claim surcharge (\$135.00) and the fees for excess claims (\$200.00 for 5 independent claims in excess of 3 and \$351.00 for 39 total claims in excess of 20).
6. Declaration for Patent Application executed in counterparts.
7. Assignment executed in counterparts with Form PTO-1595 Recordation Form Cover Sheet.
8. Our Check No. 37701 in the amount of \$40.00 to pay the recordation fee.
9. Power of Attorney by Assignee.

The Commissioner is hereby authorized to charge any additional fees that may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (our Order No. A-68289-1/RMS/DHR).

Date: 26 September 2001

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By: Robin M. Silva  
Robin M. SILVA  
Reg. No. 38,304

Embarcadero Center - Suite 3400  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989  
Facsimile: (415) 398-3249

#5

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ying LUO, et al.

File No.:

A-68289-1/RMS/DHR

Serial No.: 09/715,725

Examiner:

Not Yet Known

Filing Date: 16 November 2000

Group Art Unit:

Not Yet Known



***Novel IAPS Associated Cell Cycle Proteins, Compositions and Methods of Use***

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 26 September 2001.

Signed: \_\_\_\_\_

Todd V. LEONE

## PETITION FOR EXTENSION OF TIME

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. 1.136(a), an extension of time of:

	Large Entity		Small Entity	
One Month:	[ ]	\$ 110.00	[ ]	\$ 55.00
Two Months:	[ ]	\$ 390.00	[ ]	\$ 195.00
Three Months:	[ ]	\$ 890.00	[ ]	\$ 445.00
Four Months:	[ ]	\$ 1,390.00	[ ]	\$ 695.00
Five Months:	[ ]	\$ 1,890.00	[✓]	\$ 945.00

is hereby requested to

- [ ] respond to the Official Action mailed \_\_\_\_\_.
- [ ] file a Notice of Appeal in response to a final rejection mailed \_\_\_\_\_.
- [ ] file an Appeal Brief now due \_\_\_\_\_.
- [✓] other (specify): respond to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) mailed 26 February 2001.

The requisite fee pursuant to 37 C.F.R. 1.17 is:

- [✓] enclosed by Check No. 37703.
- [ ] to be charged to Deposit Account No. 06-1300 (Order No. \_\_\_\_\_).  
A duplicate copy of this sheet is enclosed.
- [✓] Please charge any additional fees or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-68289-1/RMS/DHR).

10/04/2001 SHINASS1 00000004 061300 09715725

01 FC:228

35.00 CH

945.00 OP

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date: 26 September 2001

By: \_\_\_\_\_

Robin M. SILVA  
Reg. No. 38,304

Four Embarcadero Center, Suite 3400  
San Francisco, California 94111-4187  
Tel.: (415) 781-1989  
Fax: (415) 398-3249

SF-1063268v1

#4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,725	11/16/2000	Ying Luo	A-68289-1/RMS/DAV

FORMALITIES LETTER



Flehr Hohbach Test Albritton & Herbert L L P  
Four Embarcadero Center Suite 3400  
San Francisco, CA 94111-4187

10/23/2001 TV0111 00000001 09715725

Date Mailed: 02/26/2001

01 FC:202	160.00 OP
02 FC:203	45.00 OP
03 FC:204	135.00 OP
04 FC:998	10.00 OP

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 SHINASS1 00000005 061300 09715725

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
<del>02 FC:202</del>	<del>210.00 OP</del>
03 FC:203	351.00 OP
<del>04 FC:204</del>	<del>140.00 OP</del>
05 FC:205	35.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$490.
  - \$90 for 5 total claims over 20.
  - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Adjustment date: 10/23/2001 TV0111  
 10/04/2001 SHINASS1 00000005 09715725  
~~02 FC:202~~ ~~210.00 OP~~

- The balance due by applicant is \$ 1330.

Adjustment date: 10/23/2001 TV0111  
 10/04/2001 SHINASS1 00000005 09715725  
~~04 FC:204~~ ~~140.00 OP~~

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 3 - OFFICE COPY